NIH PUBLIC ACCESS POLICY

Training Program in Emotion Research
September 16, 2013
What is the policy?

- We must submit to PubMed Central electronic final peer-reviewed manuscripts **upon acceptance for publication (preproduction/copy editing)**. PMC-submitted manuscripts are given a unique PMC identification number (PMCID).
- Submitted manuscripts will be made publicly available no later than 12 months after official publication.
- We must use the **PMCID** numbers in all NIH grants and progress reports.
- PMCID numbers (PubMed Central) count.
- PMID numbers (PubMed) don’t count.
- NIHMSID numbers are temporary placeholders for PMCID that expire 3 months after publication. A good first step.
Why do we care?

- Publishers want to make scientific journals—full of publicly funded research results—private, and charge big bucks for access to them. NIH is fighting this trend.
- NIH *will* delay or reject grant proposals with missing PMCID numbers. As of July 1, 2013, this is official NIH policy and is enforced.
- No grant = no

![Money](https://via.placeholder.com/150)
How to comply: Strategy

- This is a process. It used to be fairly simple. Nowadays it is a bit involved, and can take weeks or months.
- Think of it as another adventure in the journey of publishing a paper.
- Plan ahead.
  - Don’t wait to start until your PI’s grant application or progress report is due to NIH.
  - Start as soon as your paper is accepted for publication.
- Publishers are fighting back against NIH, and now offer “early open publication” scams options—for big bucks.
  - These are crafted to seem like what NIH is asking for. They aren’t.
  - When in doubt, check before you write a check.
- Julie Schneider at the Ebling Library in the Health Sciences building is a wonderful (if busy!) person to talk to:
  - jschneider@library.wisc.edu
How to comply: Overview

• Verify that your copyright transfer agreement allows submission to PubMed Central!

• Who can submit your manuscript to PubMed Central:
  • Yourself (Best! Fastest and most reliable!)
  • A 3rd party (Your crisis is not necessarily their top priority.)
  • Or the publisher (They’ll get to it eventually. Maybe.)
  • Database of journals that will submit manuscripts for you:
    • http://publicaccess.nih.gov/submit_process_journals.htm

• List PMCIDs when citing your articles (that fall under this policy) in NIH applications, proposals or progress reports.
• Also list PMCIDs in your CV and in your biosketch.
How to comply: Copyrights

• If your copyright transfer agreement does not allow deposit of your manuscript into PubMed Central, please add the following to the transfer agreement:

"Journal acknowledges that Author retains the right to provide a copy of the final manuscript to the NIH upon acceptance for Journal publication, for public archiving in PubMed Central as soon as possible but no later than 12 months after publication by Journal."

• Sign the copyright transfer agreement & immediately below your signature add: “Subject to added language”.

• If there’s no room on the form for the added language, put it on a 2nd page & modify the above. e.g. “Subject to added language on page 2”.
How to comply: Needed info

• To submit your manuscript to PMC, you’ll need to know:
  • PI name & email
  • Title of the journal
  • Title of the manuscript
  • Relevant grant number(s):
    • T32MH018931-24 for Training Program in Emotion Research
    • Ask your PI for other grants supporting your work.
  • Embargo/Delay time period
  • Your manuscript electronic files
    • Many file types are accepted
    • You don’t need to submit the final published version, just a version accepted for publication. The publisher will (eventually) provide the final version.
How to comply: Submission

- Authors may submit ms by logging on to:
- OR the UW Library can submit on your behalf. Contact Julie Schneider at
  - jschneider@library.wisc.edu
- OR the publisher may eventually submit on your behalf.
  - Don’t pay $ for this
  - Publishers are generally very slow to do this; they almost always do it after we need the PMCID for annual reports or grant proposals
- After submission, send NIHMSID and PMCID #s to
  - John Koger, jvkoger@wisc.edu
How to comply: Citations

• List the PMCID number at the end of the full journal citation.

• For example:

• NOTE : 123456 is not a real PMCID number.

• It is OK-ish to list the NIHMSID number while it is still valid. Because the NIHMSID expires a short 3 months after publication, it is generally much, much better to get the PMCID and list it instead. NIH will check to see if NIHMSIDs listed in reports or proposals are still valid.
Grant numbers decoded

• Example: 5 T32 MH018931-24A2
  1 2  3  4       5  6

• 1. Type Code: new, renewal, noncompeting or other. Not static. 5 = “noncompeting” renewal (competitive renewal every five years)

• 2. Activity Code: grant mechanism. T32 = Ruth L. Kirschstein National Research Service Award (NRSA) Institutional Research Training Grant.

• 3. Institute Code: NIH Institute or Center. MH = NIMH.

• 4. Serial Number. The unique ID for the grant.

• 5. Support Year: Indicates current year of support. This changes every year. 24 = 24th year of support for our program.

• 6. Suffix Code: used for supplements, amendments, or fellowship institutional allowances.
Key resources

- UW-Madison Libraries’ NIH policy sites (very helpful!)
  - http://www.library.wisc.edu/scp/nih/
  - http://ebling.library.wisc.edu/help/nih.php
- NIH Pub Med Central
- NIH manuscript submission system
- NIH Open Access Policy (and federal law)
- SHERPA searchable summaries of publisher policies
  - http://www.sherpa.ac.uk/romeo/